

Throughout this paper, f^n denotes the n 'th iterate of f and $\tilde{f}^n$ denotes the n 'th iterate of $\tilde{f}$.

1. a) Define a *lift* $\tilde{f} : \mathbf{R} \rightarrow \mathbf{R}$ of a continuous map $f : S^1 \rightarrow S^1$. Let $\tilde{f}$ and $\tilde{g}$ be lifts of continuous maps f and $g : S^1 \rightarrow S^1$. Find lifts of

(i) $f.g$, (ii) $f \circ g$.

b) Define $\deg(f)$ in terms of $\tilde{f}$. Show that if $\deg(f) = d$ then

$$\tilde{f}(\theta + n) - \tilde{f}(\theta) = dn \text{ for all } \theta \in \mathbf{R}.$$

By considering suitable lifts or otherwise, find $\deg(f.g)$ and $\deg(f \circ g)$ in terms of $\deg(f)$ and $\deg(g)$.

c) Give the relationship between $\deg(f_0)$ and $\deg(f_1)$ if f_0 and f_1 are homotopic. Using this or otherwise, find $\deg(f_1)$ where

$$(i) f_1(z) = \frac{(z - \frac{1}{2})^3}{z^2(1 - \frac{1}{2}z)^3}, \quad (ii) f_1(z) = \frac{(z - \frac{1}{2})(2 - z)}{(1 - \frac{1}{2}z)(1 - 2z)}.$$

[20 marks]

2. a) Let $f : S^1 \rightarrow S^1$ be continuous with lift $\tilde{f} : \mathbf{R} \rightarrow \mathbf{R}$. Give the property of $\tilde{f}$ which is equivalent to f being a *covering*. Give the possible values of the degree of f when f is a covering. If f is complex differentiable, give a condition on the derivative f' which implies that f is a covering. Determine which of the following are coverings.

$$(i) f(z) = \frac{z - \frac{1}{3}}{1 - \frac{1}{3}z}, \quad (ii) f(z) = \frac{(z - \frac{1}{3})}{z(1 - \frac{1}{3}z)}.$$

b) Show that if f and g are coverings of positive degree, then so is $f.g$.

c) Let $a \in \mathbf{R}$ with $\frac{1}{2} < a < 1$, and let

$$f(z) = z^3 \frac{1 - az}{z - a}.$$

By considering $\frac{zf'(z)}{f(z)}$ at $z = \pm 1$ or otherwise, show that f is not a covering.

[20 marks]

3. a) If a degree one homeomorphism f of the circle has at least one periodic point, state what restrictions there are on the periods of any periodic points of f . Find all periodic points of the following degree one homeomorphisms. In each example, give, without proof, all possibilities for the set $\overline{\{f^n(z) : n > 0\}}$ for varying $z \in S^1$.

$$(i) \ f(z) = \frac{z - \frac{1}{2}}{1 - \frac{1}{2}z},$$

$$(ii) \ f(z) = \frac{\frac{1}{2} - z}{1 - \frac{1}{2}z} \text{ (possibly by considering } f \circ f),$$

$$(iii) \ f(z) = e^{2i\pi^2} z.$$

b) Explain what it means for a fixed point $z = e^{2\pi i\theta}$ of a continuous circle map f to be *positively* or *negatively semistable*. You should give the definition in terms of a lift $\tilde{f}$ of f such that $\tilde{f}(\theta) = \theta$.

Let $f : S^1 \rightarrow S^1$ be a degree one homeomorphism with exactly one fixed point $z = e^{2\pi i\theta}$. By considering $\tilde{f}(t) - t$ for a lift $\tilde{f}$ fixing θ or otherwise, show that z is semistable. [20 marks]

4. a) Define what is meant by a *semiconjugacy* $\varphi : S^1 \rightarrow S^1$ between continuous maps $f, g : S^1 \rightarrow S^1$, and what is meant by a *conjugacy*. Let f be of degree d with $|d| > 1$ and let f have a continuously differentiable lift $\tilde{f}$. Give, in terms of the derivative $\tilde{f}'$, a condition for f to be conjugate to $g : z \mapsto z^d$.

b) Let d be an odd integer, with $|d| > 1$. Let f have a lift $\tilde{f}$, where

$$\tilde{f}(\theta) = d\theta + \frac{a}{2\pi}(\sin 2\pi\theta + (\sin 2\pi\theta)^3).$$

By considering where the function $h(x) = x(4 - 3x^2)$ has its maximum and minimum on the interval $[-1, 1]$ or otherwise, show that f is conjugate to $g : z \mapsto z^d$ when

$$|a| \leq \frac{9}{16}(|d| - 1).$$

By computing $\tilde{f}'(0)$ and $\tilde{f}'(\frac{1}{2})$ or otherwise, show that f and g are not conjugate if

$$|d| - 1 < |a| < |d| + 1.$$

c) Now let f be defined as in b), but with $d = 1$ and $0 < |a| < 9/16$. Show that one of ± 1 is a stable fixed point of f . Suppose that $\varphi : S^1 \rightarrow S^1$ is continuous and $\varphi(f(z)) = \varphi(z)$ for all $z \in S^1$. Show that $\varphi(f^n(z)) = \varphi(z)$ for all integers $n \geq 1$. Hence or otherwise, show that $\varphi(z) = \varphi(\pm 1)$ for all $z \in S^1$, quoting any results that you use. You may assume that f is a homeomorphism.

[20 marks]

5. a) Let $\{a_j\}_{j \geq 1}$ be one of the sequences
 $0^n(01)^\infty, (01)^n0^\infty, (10)^n0^\infty$ ($n \geq 0$).

In each of the three cases, find θ , where

$$\theta = \sum_{j=1}^{\infty} \frac{a_j}{2^j}. \quad (1)$$

We say that a sequence $\{a_j\}_{j \geq 1}$ of 0's and 1's is a *symbolic sequence* for $z \in S^1$ if $z = e^{2\pi i \theta}$ for $\theta \in [0, 1]$ and (1) holds. Show that if $t, s \in [0, 1]$, and $e^{2\pi i t}$ and $e^{2\pi i s}$ have symbolic sequences $\{a_j\}_{j \geq 1}$ and $\{b_j\}_{j \geq 1}$ with $a_j = b_j$ for $1 \leq j \leq N$, then $|t - s| \leq 2^{-N}$.

b) Now let $f(z) = z^2$, let $\{a_j\}_{j \geq 1}$ be a symbolic sequence for $z \in S^1$, and let n be any integer ≥ 1 . Give a symbolic sequence for $f^n(z)$.

Now let z have symbolic sequence

$$0^1(01)^10^2(01)^20^3(01)^3 \dots$$

Let N be a positive integer. Show that if n is sufficiently large, then the symbolic sequence for $f^n(z)$ starts with

$$0^k(01)^N \text{ or } (01)^k0^N \text{ or } (10)^k0^N, \text{ for some } 0 \leq k \leq N.$$

Show that there are sequences $\{n_r\}$ and $\{m_r\}$ of positive integers such that

$$\lim_{r \rightarrow \infty} f^{n_r}(z) = 1, \quad \lim_{r \rightarrow \infty} f^{m_r}(z) = e^{2\pi i/3}.$$

You may assume that if $t, s \in [0, 1]$ with $|2\pi(t - s)| < 1$, then

$$|e^{2\pi i t} - e^{2\pi i s}| \leq 4\pi|t - s|.$$

[20 marks]

6.

a) Define the *rotation number* $\rho(\tilde{f})$ of a continuous increasing map $\tilde{f} : \mathbf{R} \rightarrow \mathbf{R}$ satisfying $\tilde{f}(\theta + 1) = \tilde{f}(\theta) + 1$ for all θ , quoting any result that you use. Then define $\rho(f)$ for any continuous monotone degree one circle map f . Let there be $x_0 \in \mathbf{R}$ and integers p and q with $q > 0$ such that

$$\tilde{f}^q(x_0) = x_0 + p.$$

Show that $\rho(\tilde{f}) = p/q$.

b) Now let $|\lambda| = 1$, and let $f_\lambda : S^1 \rightarrow S^1$ be the homeomorphism

$$f_\lambda(z) = \lambda z^2 \frac{z - 4}{1 - 4z}.$$

Let $\tilde{f}$ be a lift of f_1 . Show that $\tilde{f}_a$ is a lift of f_λ , where

$$\tilde{f}_a(\theta) = \tilde{f}(\theta) + a, \lambda = e^{2\pi i a}.$$

Compute $\rho(f_1)$ and $\rho(f_{-1})$, possibly by first computing $f_1(1)$ and $f_{-1}^2(1)$. Also, compute $f_1'(1)$ and $(f_{-1}^2)'(1)$. State what this implies about the point $1 \in S^1$ in each case.

c) Let $f_\lambda, \tilde{f}_a$ be as in b), and let

$$F(\theta, a) = \tilde{f}_a(\theta) - \theta, G(\theta, a) = \tilde{f}_a^2(\theta) - \theta - 1.$$

Show that $\theta \mapsto F(\theta, a)$ takes both positive and negative values for a sufficiently small, and similarly for $\theta \mapsto G(\theta, a)$ if a is sufficiently near $\frac{1}{2}$. State what this implies for $\rho(f_\lambda)$ for λ sufficiently near 1 or -1 .

[20 marks]

7.

a) State Denjoy's Theorem for a continuous degree one monotone map $f : S^1 \rightarrow S^1$ with lift $\tilde{f} : \mathbf{R} \rightarrow \mathbf{R}$.

b) In both the following examples, $f : S^1 \rightarrow S^1$ is given by a lift $\tilde{f}$. In each example, sketch the graph of $\tilde{f}$ for some values of $a, b \in \mathbf{R}$, $|a| < 1$. For each example, determine for which values of a and $\rho(\tilde{f})$ the conditions of Denjoy's Theorem are satisfied. Give brief reasons for your answers.

$$(i) \quad \tilde{f}(\theta) = \theta + b + \frac{a}{2\pi} \cos 2\pi\theta.$$

$$(ii) \quad \tilde{f}(\theta) = \theta + b + \frac{a}{2\pi} \text{Max}(\sin 2\pi\theta, 0).$$

c) Let $f : S^1 \rightarrow S^1$ satisfy the conditions of Denjoy's Theorem, with $\rho(f) = \alpha \bmod \mathbf{Z}$. Describe without proof the set

$$\overline{\{e^{2\pi i n \alpha} z : n \in \mathbf{Z}, n \geq 0\}}.$$

Then explain how Denjoy's Theorem can be applied to describe the set

$$\overline{\{f^n(z) : n \in \mathbf{Z}, n \geq 0\}}$$

for any $z \in S^1$.

[20 marks]

8. a) Let $\alpha \in \mathbf{R}$ be irrational. Show that if $N > 0$ is an integer, then there are coprime integers p and q with $0 < q \leq N$ such that

$$|q\alpha - p| < 1/q. \quad (1)$$

Now let $\alpha = \sqrt{2}$. By considering the n 'th power of the equation

$$(\sqrt{2} - 1)(\sqrt{2} + 1) = 1$$

or otherwise, show that there are integers p_n and q_n with

$$|q_n\sqrt{2} - p_n| < \frac{1}{q_n\sqrt{2}},$$

where

$$\sqrt{2}q_n = \frac{1}{2}(1 + \sqrt{2})^n - \frac{1}{2}(1 - \sqrt{2})^n.$$

b) Deduce from (1) that, for each integer j with $0 \leq j < q$, there is an integer r , $0 \leq r < q$, and $n \in \mathbf{Z}$, such that

$$\left| r\alpha - n - \frac{j}{q} \right| < \frac{1}{q}.$$

Show that for such r , j and q ,

$$q \int_{j/q}^{(j+1)/q} |\sin 2\pi r\alpha - \sin 2\pi t| dt \leq \int_{(j-1)/q}^{(j+1)/q} |2\pi \cos 2\pi u| du.$$

[*Hint*: it may be helpful to write $\sin 2\pi r\alpha - \sin 2\pi t$ as the integral of some function over a short interval.]

Hence, or otherwise, show that, for such q ,

$$\left| \sum_{r=0}^{q-1} \sin 2\pi r\alpha \right| \leq 8.$$

[*Hint*: compute

$$q \int_{j/q}^{(j+1)/q} dt.]$$