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IMPERIAL COLLEGE OF SCIENCE, TECHNOLOGY AND MEDICINE UNIVERSITY OF LONDON

DEPARTMENT OF ELECTRICAL AND ELECTRONIC ENGINEERING EXAMINATIONS 2001

MSc and EEE/ISE PART IV: M.Eng. and ACGI

## DESIGN OF LINEAR MULTIVARIABLE CONTROL SYSTEMS

Thursday, 3 May 10:00 am

There are SIX questions on this paper.

Answer FOUR questions.

Time allowed: 3:00 hours

Examiners:

Jaimoukha, I.M. and Weiss, G.

**Corrected Copy** 

| Special instructions for invigilators: | None |
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| Information for candidates             | None |

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$$G(s) \stackrel{s}{=} \left[ \begin{array}{c|ccc|c} A & B \\ \hline C & D \end{array} \right] := \begin{bmatrix} 1 & 2 & 0 & 0 & 1 & 2 \\ 0 & 3 & 0 & 0 & 0 & 0 \\ 0 & 0 & 4 & 0 & 3 & 4 \\ 0 & 0 & 0 & 5 & 0 & 0 \\ \hline 2 & 3 & 0 & 0 & 1 & 0 \\ 1 & 4 & 0 & 0 & 0 & 1 \end{bmatrix}.$$

- (a) Find the uncontrollable and/or unobservable modes and determine whether the realisation is detectable and stabilisable.

  [4]
- (b) Suppose that  $K \in \mathbb{R}^{2\times 4}$  and  $L \in \mathbb{R}^{4\times 2}$  are arbitrary matrices. Determine two of the eigenvalues of A BK and two of the eigenvalues of A LC. Explain how you arrive at your answer.
- (c) Find a minimal realisation for G(s).
- (d) Find the McMillan form of G(s) and determine the pole and zero polynomials. What is the McMillan degree of G(s)?
- (e) Determine the system zeros, indicating the type of each zero.

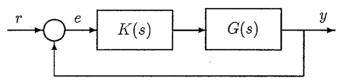
  [4]

- 2. (a) Define internal stability for the feedback loop in Figure 1, and derive necessary and sufficient conditions for which this loop is internally stable.

  [6]
  - (b) Suppose that G(s) is given by

$$G(s) = \begin{bmatrix} \frac{1}{s+1} & \frac{1}{s+2} \\ 0 & \frac{1}{s+1} \end{bmatrix}.$$

Give a parameterisation of all internally stabilising controllers for G(s).



[7]

Figure 1

(c) Let G(s) be as given in Part (b) and let S(s) denote the transfer matrix from r to e in Figure 1. Suppose now that an uncertainty on G(s) is introduced as shown in Figure 2, with  $\Delta(s)$  a stable transfer matrix satisfying

$$||\Delta(j\omega)|| \le |1 + j\omega|^2, \ \forall \omega \in \mathcal{R},$$

Using the answer to Part (b) design a controller K(s) which internally stabilises the feedback loop in Figure 2 for all  $\Delta(s)$ , and such that  $||S(0)|| \leq 0.1$ .

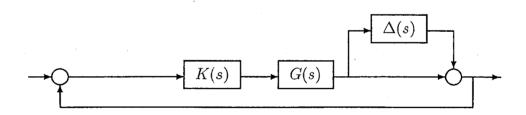


Figure 2

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3. (a) State Gershgorin's Theorem concerning the location of the eigenvalues of a complex matrix. Let

$$A = \begin{bmatrix} -4 & 0 & 0 & \delta_1 \\ 0 & -3 & \delta_2 & 0 \\ 0 & \delta_2 & -2 & 0 \\ \delta_1 & 0 & 0 & -1 \end{bmatrix} \in \mathcal{R}^{4 \times 4}.$$

Using Gershgorin's Theorem, give a range of values of  $\delta_1$  and  $\delta_2$  for which A is guaranteed to have all its eigenvalues in the left half of the complex plane.

[7]

(b) For the feedback loop in Figure 3, let K be a constant diagonal matrix. State a Nyquist type stability criterion in terms of the direct Nyquist array of G(s).

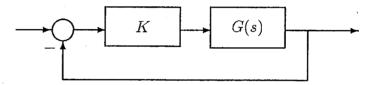


Figure 3

(c) Consider the feedback loop in Figure 4. Here

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$$G(s) = \begin{bmatrix} \frac{5}{s-1} & 0 \\ 0 & \frac{5}{s+1} \end{bmatrix}, \qquad K = \begin{bmatrix} k_1 & 0 \\ 0 & k_2 \end{bmatrix},$$

and  $\Delta(s)$  is a transfer matrix representing a structured uncertainty of the form

$$\Delta(s) = \left[ \begin{array}{cc} 0 & \delta_{12}(s) \\ \delta_{21}(s) & 0 \end{array} \right]$$

such that  $\|\Delta\|_{\infty} < 1$ . Use the answer to Part (b) to derive the range of values of  $k_1$  and  $k_2$  for which the closed-loop system is guaranteed to be internally stable.

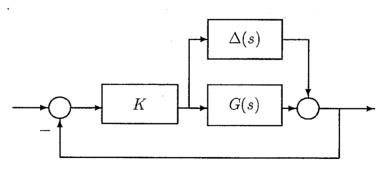


Figure 4

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4. Figure 5 illustrates the implementation of the control law u(t) = -Kx(t) + r(t) which (when r(t) = 0) minimises

$$J(x_0, u) = \int_0^\infty \|Cx(t)\|^2 + \|u(t)\|^2 dt$$

subject to  $\dot{x}(t) = Ax(t) + Bu(t)$ ,  $x(0) = x_0$  where K = B'P and P = P' is the unique stabilising solution of the Riccati equation A'P + PA - PBB'P + C'C = 0. Here, T' denotes the complex conjugate transpose of T. Assume that the triple (A, B, C) is minimal. Let  $F(s) = (sI - A)^{-1}B$ ,  $G(s) = C(sI - A)^{-1}B$  and L(s) = I + KF(s).

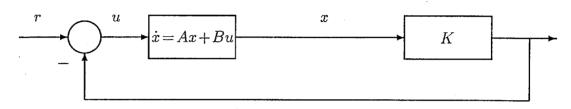


Figure 5

(a) Show that

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$$L(j\omega)'L(j\omega) = I + G(j\omega)'G(j\omega).$$
 [8]

- (b) Suppose that  $G(s) = \frac{4}{s+3}$ . Derive a balanced, minimal state-space realisation  $G(s) = C(sI A)^{-1}B$  and evaluate K for this realisation. [5]
- (c) Let G(s) and K be as in Part (b). Suppose a stable uncertainty  $\Delta$  is introduced as shown in Figure 6. Derive the maximal stability radius (using the  $\mathcal{H}_{\infty}$ -norm as a measure) for  $\Delta$  that can be deduced from Part (a) and the small gain theorem.

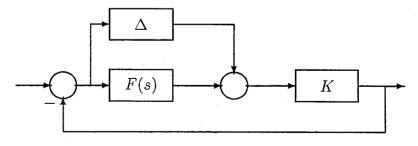


Figure 6

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5. Consider the feedback loop shown in Figure 7 where G(s) represents a plant model and K(s) represents an internally stabilising compensator. Suppose that

$$G(s) \stackrel{s}{=} \left[ \begin{array}{c|c|c} A & B \\ \hline C & D \end{array} \right] = \left[ \begin{array}{c|c|c} -4 & -4 & 2 & 2 \\ -4 & -5 & 1.2 & 1.6 \\ \hline 2 & 1.2 & 0 & 0 \\ 2 & 1.6 & 0 & 0 \end{array} \right] \in \mathcal{RH}_{\infty}.$$

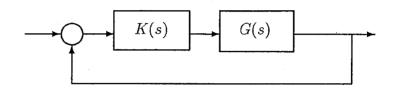


Figure 7

- (a) Show that the given realisation for G(s) is balanced and evaluate the Hankel singular values of G(s).
- (b) Design a family of first order internally stabilising controllers K(s) for G(s) using the following procedure:
  - i. Replace G(s) in Figure 7 by a first order approximation  $G_r(s)$  and give an upper bound on  $||G(s) G_r(s)||_{\infty}$ .
  - ii. Find the set of all internally stabilising controllers for the new feedback loop.
  - iii. Using the small gain theorem and the bound on  $||G(s)-G_r(s)||_{\infty}$ , choose a family of first order internally stabilising controllers for the feedback loop of Figure 7.
- (c) Design a non-dynamic internally stabilising controller K for G(s) such that  $||K|| \ge 1$ .

(Hint: Use the procedure outlined in Part (b) and the fact that  $G_r(s)$  is rank deficient.)

- 6. Consider the feedback configuration in Figure 8. Here, G(s) is a nominal plant model and K(s) is a compensator. The signals r(s) and n(s) are the Laplace transforms of the reference and sensor noise, respectively. The design specifications are to synthesise a compensator K(s) such that the feedback loop is internally stable and:
  - For good tracking, it is required that, when n(s) = 0,

$$\frac{\|e(j\omega)\|}{\|r(j\omega)\|} < |w_1(j\omega)^{-1}|, \forall \omega.$$

• For good sensor noise attenuation it is required that, when r(s) = 0,

$$\frac{\|y(j\omega)\|}{\|n(j\omega)\|} < |w_2(j\omega)^{-1}|, \forall \omega$$

where  $w_1(s)$  is a low pass and  $w_2(s)$  is a high pass filter.

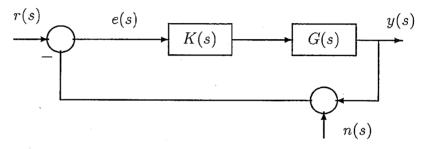


Figure 8

- (a) Derive  $\mathcal{H}_{\infty}$ -norm bounds, in terms of  $G(s), K(s), w_1(s)$  and  $w_2(s)$  that are sufficient to achieve the design specifications.
- (b) Derive a generalised regulator formulation of the design problem that captures the sufficient conditions in Part (a). [8]
- (c) Assume that a compensator K(s) achieves the design specifications in Part
  (a). Suppose now that an uncertainty Δ(s) is introduced as shown in Figure
  9. Assume that Δ(s) is a stable transfer matrix. Derive the maximal stability radius for ||Δ(jω)||, ∀ω.

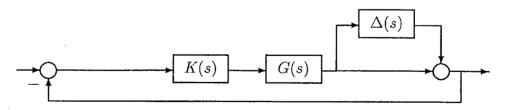


Figure 9

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[END]

- 1. (a) Since  $[A-sI \ B]$  loses rank for s=3 and s=5, they are uncontrollable modes, and since  $[A^T-sI \ C^T]$  loses rank for s=4 and s=5, they are unobservable modes. Since the uncontrollable mode are unstable, the realisation is not stabilisable, and since the unobservable modes are unstable, the realisation is not detectable.
  - (b) Since the modes  $\lambda=3$  and  $\lambda=5$  are uncontrollable, they cannot be assigned via state feedback and so they are eigenvalues of A-BK. Similarly, since  $\lambda=4$  and  $\lambda=5$  are unobservable modes, they cannot be assigned via output injection and so they are eigenvalues of A-LC.
  - (c) By removing the uncontrollable and/or unobservable modes we get the minimal realisation

$$G(s) \stackrel{s}{=} \left[ \begin{array}{c|c} 1 & 1 & 2 \\ \hline 2 & 1 & 0 \\ 1 & 0 & 1 \end{array} \right] = \left[ \begin{array}{c|c} \frac{s+1}{s-1} & \frac{4}{s-1} \\ \hline \frac{1}{s-1} & \frac{s+1}{s-1} \end{array} \right] = \frac{1}{s-1} \left[ \begin{array}{c|c} s+1 & 4 \\ 1 & s+1 \end{array} \right].$$
 [4]

(d) By performing the following elementary operations: (1)  $r_1 \leftrightarrow r_2$ , (2)  $r_2 := r_2 - (s+1)r_1$ , (3)  $c_2 := c_2 - (s+1)c_1$ , (4)  $c_2 = -c_2$ , the McMillan form of G(s) is given by,

$$G(s) = \left[\begin{array}{cc} s+1 & 1 \\ 1 & 0 \end{array}\right] \left[\begin{array}{cc} \frac{1}{s-1} & 0 \\ 0 & s+3 \end{array}\right] \left[\begin{array}{cc} 1 & s+1 \\ 0 & -1 \end{array}\right] =: L(s)M(s)R(s),$$

where L(s) and R(s) are unimodular. The pole and zero polynomials are given by

$$p(s) = s - 1, \qquad z(s) = s + 3$$

respectively. The McMillan degree is the degree of the pole polynomial and is therefore equal to 1. [9]

(e) Since s=3 and s=5 are uncontrollable modes, they are input decoupling zeros. Since s=4 and s=5 are unobservable modes, they are output decoupling zeros. So, s=5 is an input/output decoupling zero. It follows from Part (d) that the system has a transmission zero at s=-3.

2. (a) Inject a signal d in between G(s) and K(s) and call the input to G(s) u. The loop is internally stable if and only if the transfer matrix from  $\begin{bmatrix} d \\ r \end{bmatrix}$  to  $\begin{bmatrix} u \\ e \end{bmatrix}$  is stable (no poles in the closed right half plane). Since

$$\begin{bmatrix} d \\ r \end{bmatrix} = \begin{bmatrix} I & -K \\ -G & I \end{bmatrix} \begin{bmatrix} u \\ e \end{bmatrix} =: T(s) \begin{bmatrix} u \\ e \end{bmatrix}$$

the loop is internally stable if and only if  $T^{-1}(s)$  is stable.

[6]

(b) Since G(s) is stable, we proceed as follows. Note that

$$\left[\begin{array}{cc} I & -K \\ -G & I \end{array}\right] = \left[\begin{array}{cc} I & 0 \\ -G & I \end{array}\right] \left[\begin{array}{cc} I & -K \\ 0 & I-GK \end{array}\right].$$

Hence

$$\begin{bmatrix} I & -K \\ -G & I \end{bmatrix}^{-1} = \begin{bmatrix} I & -K \\ 0 & I - GK \end{bmatrix}^{-1} \begin{bmatrix} I & 0 \\ -G & I \end{bmatrix}^{-1} = \begin{bmatrix} I & K(I - GK)^{-1} \\ 0 & (I - GK)^{-1} \end{bmatrix} \begin{bmatrix} I & 0 \\ G & I \end{bmatrix}.$$
Finally, since  $(I - GK)^{-1} = I + CK(I - GK)$ .

Finally, since  $(I - GK)^{-1} = I + GK(I - GK)^{-1}$ , it follows that if G is stable, then the loop is internally stable if and only if  $Q := K(I - GK)^{-1}$  is stable. Rearranging terms shows that K is internally stabilising if and only if  $K = Q(I + GQ)^{-1}$  for some stable Q.

(c) Since K is required to be internally stabilising,  $K = Q(I + GQ)^{-1}$  for some stable Q from Part (b). We search for a stable Q to satisfy the design requirements. Let the input to  $\Delta$  be  $\epsilon$  while the output from  $\Delta$  be  $\delta$ . Then a simple calculation shows that  $\epsilon = C\delta$  where  $C = (I - GK)^{-1}GK$  is the complementary sensitivity which is stable. Now

$$S = (I - GK)^{-1} = I + GK(I - GK)^{-1} = I + GQ,$$

$$C = GK(I - GK)^{-1} = GQ.$$
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The small gain theorem implies that for K to stabilise the loop in Figure 2 for all  $\Delta$ , we must have

$$\|G(j\omega)Q(j\omega)\|<\frac{1}{|1+j\omega|^2}$$

so we choose

$$Q(s) = h \frac{1}{(s+1)^2} G^{-1}(s) = h \begin{bmatrix} \frac{1}{s+1} & \frac{-1}{s+2} \\ 0 & \frac{1}{s+1} \end{bmatrix}$$

where -1 < h < 1 is to be determined. Since

$$S(0) = I + G(0)Q(0) = (1+h)I_2$$

it follows that any  $-1 < h \le -0.9$  will satisfy the design specifications. [12]

3. (a) Gershgorin's Theorem: Let A be any  $n \times n$  complex matrix. The eigenvalues of A lie in  $\mathcal{D}_1$ , the union of the discs,

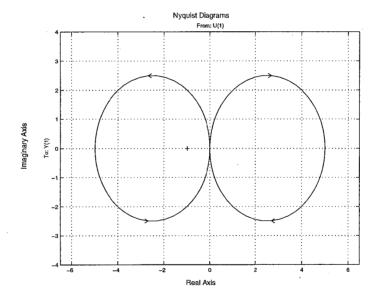
$$|l-m_{ii}| \leq \sum_{j \neq i} |m_{ij}|, \quad i = 1, \ldots, n,$$

and they also lie in  $\mathcal{D}_2$ , the union of the discs,

$$|l-m_{ii}| \leq \sum_{j\neq i} |m_{ji}|, \quad i=1,\ldots,n.$$

For the given A, taking column sums, the Gershgorin discs are centred on -4, -3, -2 and -1 and have radii  $|\delta_1|, |\delta_2|, |\delta_2|$  and  $|\delta_1|$ , respectively. Thus  $\mathcal{D}_2$  lies in the closed left half plane if  $-1 < \delta_1 < 1$  and  $-2 < \delta_2 < 2$ .

(b) Let G(s) have P closed right half plane poles. Assume that  $K^{-1} + G(s)$  is diagonally dominant, that is,  $\left|\frac{1}{k_i} + G_{ii}(s)\right| \ge \sum_{j \ne i} |G_{ji}(s)|$ , for all i and for all s on the Nyquist contour. Let the ith Gershgorin band of G(s) encircle the point  $-\frac{1}{k_i}$  a total of  $N_i$  times anticlockwise. Then the loop is internally stable if and only if  $\sum_i N_i = P$ .



(c) For the given G(s), P=1. The Nyquist plots for  $G_{11}$  (left circle) and  $G_{22}$  (right circle) are shown above. Since  $\|\Delta\|_{\infty} < 1$ , it follows that  $\|\delta_{12}\|_{\infty} < 1$  and  $\|\delta_{21}\|_{\infty} < 1$ . Thus the Gershgorin circles all have radius 1 at most. To guarantee stability, it is sufficient that the number of anticlockwise encirclements by the Gershgorin bands of  $G_{11}$  of  $-\frac{1}{k_1}$  and the number of anticlockwise encirclements by the Gershgorin bands of  $G_{22}$  of  $-\frac{1}{k_2}$  is 1. Thus the closed-loop system is stable if  $-4 < -\frac{1}{k_1} < -1$  (equivalently, if  $0.25 < k_1 < 1$ ) and if  $-\frac{1}{k_2} > 6$  (equivalently, if  $k_2 > -\frac{1}{6}$ ) or  $-\frac{1}{k_2} < -1$  (equivalently, if  $k_2 < 1$ ). [12]

4. (a) By direct evaluation,  $L(j\omega)'L(j\omega) =$ 

$$I + K(j\omega I - A)^{-1}B + B'(-j\omega I - A')^{-1}K' + B'(-j\omega I - A')^{-1}K'K(j\omega I - A)^{-1}B.$$

But

$$K'K = A'P + PA + C'C = -(-j\omega I - A')P - P(j\omega I - A) + C'C$$

from the Riccati equation. So,  $L(j\omega)'L(j\omega)$ 

$$= I + K(j\omega I - A)^{-1}B + B'(-j\omega I - A')^{-1}K'$$

$$+ B'(-j\omega I - A')^{-1}[-(-j\omega I - A')P - P(j\omega I - A) + C'C](j\omega I - A)^{-1}B$$

$$= I + [K - B'P](j\omega I - A)^{-1}B + B'(-j\omega I - A')^{-1}[K' - PB]$$

$$+ B'(-j\omega I - A')^{-1}C'C(j\omega I - A)^{-1}B$$

$$= I + G(j\omega)'C'CG(j\omega).$$
[8]

(b) A minimal, balanced state-space realisation of G(s) is given by

$$G(s) \stackrel{s}{=} \left[ \begin{array}{c|c} A & B \\ \hline C & D \end{array} \right] = \left[ \begin{array}{c|c} -3 & 2 \\ \hline 2 & 0 \end{array} \right] \cdot$$

The Riccati equation becomes

$$-3P - 3P - 4P^2 + 4 = 0$$

which has a stabilising solution P = 0.5. Hence K = B'P = 1.

(c) Let  $\epsilon$  be the input to  $\Delta$  and  $\delta$  be the output of  $\Delta$ . Then

$$\epsilon = -K(\delta + G\epsilon) = -(I + KG)^{-1}K\delta.$$

Using the small gain theorem (since the regulator is stable and the perturbation is assumed stable), the loop is stable if  $\|\Delta(I+KG)^{-1}K\|_{\infty} < 1$ . But Part (a) implies that  $\underline{\sigma}[I+KG(j\omega)] \geq 1$  which implies  $\|(I+KG)^{-1}\|_{\infty} \leq 1$ . Furthermore, K=1 from Part (b). Hence the loop will tolerate perturbations of size (measured in the  $\mathcal{H}_{\infty}$  norm) at least 1 without losing internal stability, since  $\|\Delta\|_{\infty} < 1$  implies that

$$\|\Delta(I + KG)^{-1}K\|_{\infty} < 1.$$
 [12]

[5]

5. (a) The realisation of G(s) is balanced if

$$A\Sigma + \Sigma A' + BB' = A'\Sigma + \Sigma A + C'C = 0$$

for  $\Sigma = \operatorname{diag}(\sigma_1, \sigma_2) > 0$  and where the  $\sigma_i$ 's are the Hankel singular values of G(s). A simple calculation gives  $\Sigma = \operatorname{diag}(1, 0.4)$ .

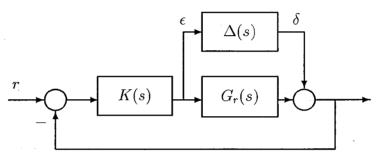
(b) Let

$$G_r(s) \stackrel{s}{=} \begin{bmatrix} -4 & 2 & 2 \\ 2 & 0 & 0 \\ 2 & 0 & 0 \end{bmatrix} = \frac{4}{s+4} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

denote a first order balanced truncation of G(s). Then  $G_r(s) = G(s) + \Delta(s)$  where

$$\|\Delta\|_{\infty} \le 2\sum_{i=2}^{2} \sigma_i = 0.8.$$

Then replacing G(s) by  $G_r(s)$  in the loop of Figure 7 is equivalent to:



Now  $\epsilon = -K(I+G_rK)^{-1}\delta$  and so the small gain theorem implies that the loop is stable if  $\|\Delta K(I-G_rK)^{-1}\|_{\infty} < 1$  which is guaranteed if  $\|K(I-G_rK)^{-1}\|_{\infty} < 1.25$  since  $\|\Delta\|_{\infty} \leq 0.8$ . However, the set of all internally stabilising controllers for  $G_r(s)$  is given by  $K = Q(I+G_rQ)^{-1}$  for stable Q. Furthermore,  $K(I-G_rK)^{-1}=Q$ . Thus we can take  $Q=qI_2$  where q is constant (to guarantee a first order controller) and |q|<1.25 (to guarantee stabilisation of G). For example, taking q=-1 gives

$$K(s) \stackrel{s}{=} \begin{bmatrix} -3 & 1 & 1 \\ 1 & -1 & 0 \\ 1 & 0 & -1 \end{bmatrix}.$$
 [12]

(c) Arguing as in Part (b), the set of all internally stabilising controllers for  $G_r(s)$  is given by  $K = Q(I + G_rQ)^{-1}$  for stable Q. Since  $G_r$  has rank 1, we can ensure that K is non-dynamic by choosing non-dynamic Q such that  $G_rQ = 0$ . A possible choice is

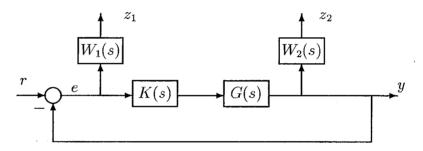
$$Q = q \left[ \begin{array}{cc} 1 & -1 \\ -1 & 1 \end{array} \right],$$

giving K = Q. Finally, to guarantee stabilisation of G and to ensure that  $||K|| \ge 1$  we choose q such that  $1 \le ||Q|| = \sqrt{2}|q| < 1.25$ , or  $\frac{1}{\sqrt{2}} \le |q| < \frac{1.25}{\sqrt{2}}$ .

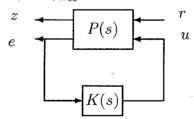
- 6. (a) It is clear that we require K to be internally stabilising.
  - A simple calculation shows that, when n(s) = 0, e(s) = -S(s)r(s) where  $S(s) = [I+G(s)K(s)]^{-1}$  is the sensitivity. Thus  $||e(j\omega)|| \le ||S(j\omega)|| ||r(j\omega)||$ . It follows that a sufficient condition to achieve the first design specification is  $||S(j\omega)|| < |w_1^{-1}(j\omega)|$ ,  $\forall \omega$  or equivalently  $||W_1S||_{\infty} < 1$ , where  $W_1 = w_1I$ .
  - When r(s) = 0, a similar calculation shows that y(s) = -C(s)n(s) where  $C(s) = G(s)K(s)[I + G(s)K(s)]^{-1}$  is the complementary sensitivity. Thus  $||y(j\omega)|| \le ||C(j\omega)|| ||n(j\omega)||$ . It follows that a sufficient condition to achieve the second design specification is  $||C(j\omega)|| < |w_2^{-1}(j\omega)|$ ,  $\forall \omega$  or equivalently  $||W_2C||_{\infty} < 1$ , where  $W_2 = w_2I$ .

Thus, to satisfy both design requirements, it is sufficient (but not necessary) that  $\| \begin{bmatrix} W_1 S \\ W_2 C \end{bmatrix} \|_{\infty} < 1.$  [8]

(b) The design specifications reduce to the requirement that the transfer matrix from r to  $z = [z_1^T \ z_2^T]^T$  in the following diagram has  $\mathcal{H}_{\infty}$ -norm less than 1.



The corresponding generalised regulator formulation is to find an internally stabilising K such that  $\|\mathcal{F}_l(P,K)\|_{\infty} < 1$ :



$$P = \begin{bmatrix} P_{11} & P_{12} \\ P_{21} & P_{22} \end{bmatrix} = \begin{bmatrix} W_1 & -W_1G \\ 0 & W_2G \end{bmatrix}$$
 [8]

(c) Let the input to  $\Delta$  be  $\epsilon$  while the output from  $\Delta$  be  $\delta$ . Then  $\epsilon = -C\delta$  where  $C = (I + GK)^{-1}GK$  is the complementary sensitivity which is stable. Using the small gain theorem, closed-loop stability is assured provided that  $\|\Delta(j\omega)C(j\omega)\| < 1, \forall \omega$ . Since K(s) achieves the design specifications of Part (a),  $\|\Delta(j\omega)\| < |w_2^{-1}(j\omega)|, \forall \omega$  gives the maximal stability radius.